

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Alberte et al.

Appln. No.

09/405,269



Group Art Unit: 1714

Filed:

September 23, 1999

Examiner: TO BE ASSIGNED

For: **SAFE AND EFFECTIVE BIOFLIM INHIBITOR COMPOUNDS AND HEALTH-RELATED USES THEREOF**

Atty Docket: PHA-004.01

**Attn: Office of Initial Patent Examination's  
Customer Service Center**

Assistant Commissioner for Patents  
Washington, D.C. 20231

APR 12 2000  
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**REQUEST FOR CORRECTION OF FILING RECEIPT  
AND  
REQUEST FOR CORRECTION OF CERTIFIED COPY**

Dear Sir:

Applicants request that the Filing Receipt be corrected to delete --CONTINUING DATA AS CLAIMED BY APPLICANT -- THIS APPLN IS A CON OF 09/159,814 --, and insert the correct priority information as follows: -- **THIS APPLN CLAIMS PRIORITY TO 09/159,814, 09/23/98** -- as indicated on the attached copy of the Filing Receipt in red ink. In support of this request, Applicants submit a copy of the executed Declaration/Power of Attorney as filed with the Response to Notice to File Missing Parts of Application received by the U.S. Patent and Trademark Office on November 22, 1999.

Applicants respectfully request issuance of a corrected filing receipt for this application. Applicants further request that the priority information be amended on the certified copy of this application. If there are any questions concerning this request, the Examiner is invited to contact the undersigned at (617) 832-1294.

If there are any fees due in connection with the filing of this request, please charge the fees to our **Deposit Account No. 06-1448**.

2/28/00  
Date

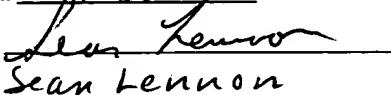
Respectfully submitted,  
FOLEY, HOAG & ELIOT LLP

  
Beth E. Arnold  
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## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231 on 3/28/00.

  
Sean Lennon

FILING RECEIPT

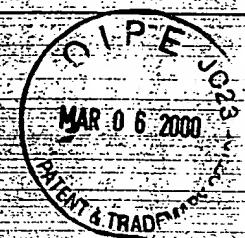
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UNITED STATES DEPARTMENT OF COMMERCE  
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APPLICATION NUMBER	FILING DATE	GRP. ART. UNIT	FIL FEE REC'D	ATTORNEY DOCKET NO.	DRWGS	TOT CL	IND CL
09/405,269	09/23/99	1714	\$1,057.00	PHA-004.01	9	87	3

PATENT GROUP  
FOLEY HOAG & ELIOT LLP  
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BOSTON MA 02109-2170



Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts of Application" ("Missing Parts Notice") in this application, please submit any corrections to this Filing Receipt with your reply to the "Missing Parts Notice." When the PTO processes the reply to the "Missing Parts Notice," the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s) RANDALL S. ALBERTE, FALMOUTH, ME; RICHARD C. ZIMMERMAN, PACIFIC GROVE, CA.

## CONTINUING DATA AS CLAIMED BY APPLICANT

THIS APPLN IS A CON OF 09/159,814 09/23/98

CLAIMS PRIORITY TO

IF REQUIRED, FOREIGN FILING LICENSE GRANTED 10/14/99 \*\* SMALL ENTITY \*\*  
TITLE  
SAFE AND EFFECTIVE BIOFILM INHIBITORY COMPOUNDS AND HEALTH-RELATED  
USES THEREOF

PRELIMINARY CLASS: 524

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F.M. & E. LLP  
PATENT DEPT.

DATA ENTRY BY: HILL, SEAN

TEAM: 08 DATE: 01/14/00



(See reverse for new important information)

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Title 35, United States Code, Section 184

Title 37, Code of Federal Regulations, 5.11 & 5.15

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The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.

The words "new," "improved," "improvement," "improvements in or relating to" are not included as the first words in the title of an application because a patent application is, by nature, a new idea or improvement.

The title may be truncated if it consists of more than 4 lines of 70 characters each (letters and spaces combined).

The inventor information may be truncated if the family name consists of more than 25 characters (letters and spaces combined) and if the given name consists of more than 25 characters (letters and spaces combined). The inventor's residence allows for up to 40 characters (letters and spaces combined).

The docket number allows a maximum of 12 characters.

If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."

Customer Address may have been modified to conform to U.S. Postal rules.

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